NOTICE OF FUNDING OPPORTUNITY

Federal Agency Name: Corporation for National and Community Service

Funding Opportunity Title: FY 2021 Ohio AmeriCorps State Grants

Announcement Type: Initial Announcement

Assistance Listing Number: 94.006

Disclosure: Publication of this Notice of Funding Opportunity (Notice) does not obligate the Ohio Commission on Service and Volunteerism (ServeOhio) to award any specific number of grants or to commit any particular amount of funding. The actual level, timing, and process of grant funding will be subject to the availability of annual appropriations.

Important Dates

• Applications are due **Wednesday**, **April 7**, **2021** by 5:00 p.m. EST to ServeOhio.

Only programs that will operate solely in Ohio are eligible for funding.

• Successful applicants will be notified by **mid-May 2021**.

A. PROGRAM DESCRIPTION

A.1. Purpose of AmeriCorps Funding

The mission of Corporation for National and Community Service (CNCS) is to improve lives, strengthen communities, and foster civic engagement through service and volunteering. Through AmeriCorps, AmeriCorps Seniors, and the Volunteer Generation Fund, CNCS has helped to engage millions of citizens in meeting community and national challenges through service and volunteer action.

AmeriCorps grants are awarded to eligible organizations (See Section *C.1 Eligible Applicants*) proposing to engage AmeriCorps members in evidence-based or evidence-informed interventions/practices to strengthen communities. An AmeriCorps member is an individual who engages in community service through an approved national service position. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members earn a Segal AmeriCorps Education Award from the National Service Trust that members can use to pay for higher education expenses or apply to qualified student loans.

A.2. Funding Priorities

ServeOhio seeks to prioritize the investment of national service resources in the following areas:

- Efforts to help local communities recover from the COVID-19 pandemic.
- Programs that actively engage in removing structural racial inequities, advancing racial equality, and increasing opportunity to achieve sustainable change in communities.
- Economic Opportunity program models that increase economic opportunities for communities by preparing people for the workforce; Increase access, information and/or resources to safe, healthy, affordable, and/or more efficient housing.
- Education programs using evidence-based interventions as described on the <u>CNCS Evidence</u> Exchange as being moderate or strong evidence.

- Environmental stewardship.
- Faith-based organizations providing any of the funding priorities.
- Healthy Futures program models that reduce and/or prevent prescription drug and opioid abuse; Increase access to health information/knowledge, resources and/or services; Improve individual and/or community health conditions.
- Rural intermediaries organizations that demonstrate measurable impact and primarily serve rural communities with limited resources and organizational infrastructure.
- Veterans and Military Families program models that positively impact the quality of life of veterans and improves military family strength.

To receive priority consideration, applicants must show that the priority area is a significant part of the program focus and intended outcomes; and must include a high-quality program design. Applicants that receive priority consideration does not guarantee funding.

A.3. Performance Goals or Expected Outcomes National Performance Measures

All applications must include at least one aligned performance measure (output and outcome) that corresponds to the proposed primary intervention. This may be a National Performance Measure or an applicant-determined measure. Additional performance measures, including output-only National Performance Measures, may also be included if desired; however, all performance measures must be consistent with the program's Theory of Change and represent significant program activities. ServeOhio does not expect applicants to select performance measures to correspond to each and every potential member activity or community impact. ServeOhio values the quality of performance measures over the quantity of performance measures.

ServeOhio expects applicants to use National Performance Measures as part of their comprehensive performance management strategy if the National Performance Measures are part of the applicant's Theory of Change. Applicants should use both performance and evaluation data to learn from their work as well as make tactical and strategic adjustments to achieve their goals. For more information, please refer to the <u>CNCS Performance Measure Instructions</u>.

A.4. Program Authority

Awards under this Notice are authorized by the National and Community Service Act of 1990, as amended, (42 U.S.C. § 12501 et seq.)

B. FEDERAL AWARD INFORMATION

B.1. Estimated Available Funds

ServeOhio expects a highly competitive AmeriCorps grant competition. ServeOhio reserves the right to prioritize providing funding to existing awards over making new awards. The actual level of funding will be subject to the availability of annual appropriations.

B.2. Estimated Award Amount

Award amounts will vary, as determined by the scope of the projects.

B.3. Period of Performance

ServeOhio anticipates making three-year grants. ServeOhio generally makes an initial award for the first year of the period of performance, based on a one-year budget. Continuation awards for subsequent years are not guaranteed; they depend upon availability of appropriations and satisfactory performance.

The project period is 12 months, with a start date proposed by the applicant no earlier than August 1, 2021 and may <u>not</u> occur prior to the date CNCS and ServeOhio awards the grant. AmeriCorps members may <u>not</u> enroll prior to the start date of the award. AmeriCorps members may <u>not</u> begin service prior to the beginning of the member enrollment period as designated in the grant award. A program may not certify any hours a member performs prior to the beginning of the member enrollment period.

Applications are submitted with a one-year budget, performance measure targets for one year, a total Member Service Years (MSY) for one year and a total Member Slot request for one year.

B.4. Type of Award

AmeriCorps Operating Grants: ServeOhio may award a Cost Reimbursement or a Fixed Amount grant to any successful applicant, but the availability of a Full-Cost Fixed Amount grant is limited to certain applicants. Professional Corps are not eligible to apply for Cost Reimbursement grants. See the C.1. *Eligible Applicants* section and the Mandatory Supplemental Information for more information. ServeOhio will not provide both types of grants for the same project in one fiscal year. ServeOhio will not fund the same project with separate grant types and funding sources.

<u>Ohio AmeriCorps Planning Grants</u>: ServeOhio, at its discretion, may provide planning grant awards to any grant applicant that submits an eligible grant application. The awards will be no more than \$75,000 for a project period of 6 to 12 months and will require an additional planning grant submission request that must be approved by ServeOhio.

Grant Types	Cost Reimbursement	Fixed Amount				
Available Subtypes	Traditional	Full-Cost	Education Award Program (EAP)	Professional Corps See Sec. D.6.a.2 for further requirements	No Cost Slots	
Maximum Cost per MSY	\$16,300	\$16,300	\$800 or \$1,000*	\$1,000	\$0	
Type of Slots in the National Service Trust	Full-Time, Three Quarter-Time, Half-time, Reduced Half- Time, Quarter- Time, Minimum- Time, Abbreviated- Time	Full- Time, Three Quarter-Time, Half-Time, Reduced Half- Time, Quarter- Time, Minimum-Time, Abbreviated- Time	Full-Time, Three Quarter-Time, Half-Time, Reduced Half- Time, Quarter- Time, Minimum- Time, Abbreviated- Time	Full-Time only	Full-Time, Three Quarter- Time, Half- Time, Reduced Half-Time, Quarter-Time, Minimum- Time, Abbreviated- Time	
Budget Submission Required	Yes			Yes, if requesting operating funds	No	
Availability of Funds linked to enrollment and retention of awarded MSYs	No		Yes		No	
Special Requirements	N/A	N/A		Must place qualified professionals in communities with an inadequate number of such professionals. Member salaries and benefits must be paid entirely by organization where member serves and not included in budget.	N/A	
Financial Reporting Requirements	Yes			No		
Available to new Applicants	Yes	No		Yes		

^{*}Per 42 U.S.C. § 12581a, the Corporation may provide the operational support under this section for a program in an amount that is not more than \$800 per individual enrolled in an approved national service position, or not more than \$1,000 per such individual if at least 50 percent of the persons enrolled in the program are disadvantaged youth.

C. ELIGIBILITY INFORMATION

C.1. Eligible Applicants

The following non-federal entities (all of which are defined in 2 CFR 200.1) are eligible to apply:

- Indian Tribes
- Institutions of higher education
- Local governments
- Nonprofit organizations
- States

Entities must have a valid SAM registration and [DUNS and/or Unique Entity Identifier] to receive an award. See Section D.3. Unique entity identifier and System for Award Management (SAM) for more information.

New applicant organizations may request as few as **5 Member Service Years (MSY)** annually in their first three-year grant cycle. However, ServeOhio strongly encourages new applicants to request a minimum of 10 MSY. See the Table on page 11 for the various member slot types.

Renewal applicants requesting less than 10 Member Service Years (MSY), without prior authorization from ServeOhio, may not be reviewed or considered for funding.

New Applicants

ServeOhio encourages organizations that have not received prior AmeriCorps funding to apply. The general practice is to award no more than 50 member positions (slots) to organizations receiving an AmeriCorps grant for the first time.

New applicants are eligible to apply for Cost Reimbursement and Education Award Program (EAP) grant but are not eligible to apply for Full-Cost Fixed Amount grants.

Types of Applicants

Single-State Applicants are eligible to apply to ServeOhio

Organizations that propose to operate only in Ohio must apply through the Governor-appointed State Commission, ServeOhio. ServeOhio administers its own selection process and submits the applications it selects to CNCS.

National Direct and Multi-State Applicants are not eligible to apply to ServeOhio.

Organizations that proposed to operate AmeriCorps programs in more than one state must apply directly to CNCS. See www.nationalservice.gov for application requirements.

Threshold Issues

Applications should reflect that they meet the following threshold requirements for the grant type for which they are applying.

• Professional Corps applicants and/or applicants determined to be a Professional Corps by ServeOhio must demonstrate that the community in which it will place AmeriCorps members serving as professionals has an inadequate number of said professionals.

- If the proposed service activities require specialized member qualifications and/or training (for example, tutoring programs: 45 CFR 2522.910-.940), the applicant must describe how the program will meet these requirements.
- All applicants must propose program designs that are either evidence-based or evidence-informed. Applicants assessed as lower than the Preliminary evidence tier (i.e., Pre-Preliminary) must provide adequate responses to the Evidence Quality review criteria in order to be considered for funding.

C.2. Cost Sharing or Matching

Fixed Amount Grants

There is no match requirement for Fixed Amount grants. AmeriCorps does not provide all the funds necessary to operate the program, therefore organizations should raise the additional revenue required to operate the program.

Cost Reimbursement Grants

Applicants are required to match funds based on the chart below. The applicant's match can be non-CNCS cash and/or in-kind contributions. Applicants must indicate whether the match is proposed or secured. Applicants must demonstrate the ability to meet the match requirement at the time of application submission. See Section *D.6. Funding Restrictions* for more information.

A first-time successful applicant is required to match at 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten, according to the minimum overall share chart found in 45 CFR 2521.60 and below.

AmeriCorps	1, 2, 3	4	5	6	7	8	9	10+
Funding Year								
Grantee Share	24%	26%	30%	34%	38%	42%	46%	50%
Requirements								

Section 121(e)(5) of NCSA (42 U.S.C. §12571(e)) requires programs that use other federal funds as match for an AmeriCorps grant to report the amount and source of these funds to CNCS on a Federal Financial Report. Grantees must track and be prepared to report on that match separately each year and at closeout.

The living allowance or salary provided to AmeriCorps members in Professional Corps programs (see Mandatory Supplemental Information) does not count toward the matching requirement.

Alternative Match

Under certain circumstances, applicants may qualify to meet alternative matching requirements that increase over the years to 35 percent instead of 50 percent as specified in the regulations at 45 CFR 2521.60(b). To qualify, applicants must demonstrate that the proposed program is either located in a rural county or in a severely economically distressed community as defined in the Application Instructions. Applicants who plan to request an alternative match schedule must submit a request at the time the application is submitted to mary.cannon@serveohio.gov. ServeOhio will review and, if approved, submit it to CNCS on behalf of the applicant.

C.3. Other Eligibility Requirements

Under Section 132A(b) of the National and Community Service Act of 1990, as amended, organizations that have been convicted of a federal crime may not receive assistance described in this Notice.

Applications that propose to engage in activities that are prohibited under CNCS's statutes, regulations, or the terms and conditions of its awards are not eligible to receive funding.

Note that under appropriations provisions annually enacted by Congress, if CNCS or ServeOhio is aware that any corporation has any unpaid federal tax liability—

- that has been assessed
- for which all judicial and administrative remedies have been exhausted or have lapsed
- that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability—

that corporation is not eligible for an award under this Notice. However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interests of the federal government.

Pursuant to the Lobbying Disclosure Act of 1995, an organization described in the <u>Internal</u> <u>Revenue Code of 1986, 26 U.S.C. 501 (c)(4)</u> that engages in lobbying activities is not eligible to apply for CNCS funding.

D. APPLICATION AND SUBMISSION INFORMATION

This *Notice* should be read together with the AmeriCorps Regulations, 45 CFR 2520–2550, the Mandatory Supplemental Information, Application Instructions, and Performance Measure Instructions which are incorporated by reference. These documents can be found on <u>ServeOhio's</u> website. The full Regulations are available online at www.ecfr.gov.

D.1. Application Package

Ohio applicants should refer to the <u>ServeOhio website</u> to obtain the necessary information to apply.

D.2. Content and Form of Application Submission

D.2.a. Application Content

In CNCS's web-based management system called eGrants, applicants will enter the following components of a complete application:

- Standard Form 424 (SF-424) Face Sheet: This is automatically generated when applicants complete the data elements in the system
- Narratives:
 - Executive Summary
 - Program Design
 - Organizational Capability
 - Cost Effectiveness and Budget Adequacy
 - Evaluation Plan (if applicable)

- Logic Model
- Standard Form 424A Budget
- Performance Measures
- Authorization, Assurances, and Certification (https://egrants.cns.gov/cnsmisc/ECERTS.HTM, andhttps://egrants.cns.gov/cnsmisc/EASSUR.HTM)

D.2.b. Page Limits

There are page limits for the Narratives and Logic Model.

Narratives

Applications **must not exceed** 11 double-spaced pages for the Narratives or 12 pages for Rural Intermediaries as the pages print out from eGrants.

The application sections that count towards the page limit are the:

- SF-424 Face Sheet
- Executive Summary
- Program Design, Organizational Capability, and Cost-Effectiveness & Budget Adequacy narratives.

The application page limit **does not** include the Budget, Performance Measures, or any required additional documents.

Logic Model

The Logic Model **may not exceed three pages** when printed with the application from the "Review" tab in CNCS's web-based management system.

Please note that the length of the application as a word processing document may differ from the length of the document printed out from eGrants. The character limits in eGrants do not align with page limits set in the Notice. ServeOhio strongly encourages applicants to print out the application from the "Review and Submit" tab in eGrants prior to submission in order to confirm that the application does not exceed the page limit.

ServeOhio will not consider the results of any alternative printing methods when determining if an application complies with the page limit. Reviewers will also not consider material that is over the page limit, even if eGrants allows applicants to enter and submit additional text.

D.3. Unique entity identifier and System for Award Management (SAM)

All applicants **must** register with the System for Award Management (SAM) at https://www.sam.gov/SAM/ and maintain an active SAM registration until the application process is complete. If an applicant is awarded a grant, it must maintain an active SAM registration throughout the life of the award. See the SAM Quick Guide for Grantees at: https://sam.gov/SAM/transcript/Quick_Guide_for_Grants_Registrations.pdf.

SAM registration must be renewed annually. ServeOhio suggests applicants finalize a new registration or renew an existing one at least three weeks before the application deadline, to

allow time to resolve any issues that may arise. <u>Applicants must use their SAM-registered</u> <u>legal name and address on all grant applications to ServeOhio.</u>

ServeOhio and CNCS will not make awards to entities that do not have a valid SAM registration and DUNS or Unique Entity Identifier (UEI). If an applicant has not fully complied with these requirements by the time CNCS is ready to make a Federal award, CNCS may determine that the applicant is not qualified to receive an award and use that determination as a basis for making a Federal award to another applicant.

The Federal government is implementing Unique Entity Identifiers (UEI) as part of the SAM registration process to eventually replace DUNS numbers. CNCS may ask applicants to add the UEI to their application as part of the clarification or pre-award process. CNCS is adding a field to capture the UEI in eGrants. If you already have a UEI and the UEI field is available in eGrants, you can enter it at the time you submit your application.

Applicants can obtain a DUNS number at no cost by calling the DUNS number request line at (866) 705-5711 or by applying online at the <u>DUNS Request Service</u>. CNCS recommends registering at least 30 days before the application due date, if applicants do not yet have their UEI.

D.4. Submission Dates and Times

D.4.a. Application Submission Deadline

Applications are due Wednesday, April 7, 2021 by 5:00 p.m. Eastern Time.

ServeOhio will not consider applications submitted after the deadline, except as noted in Section *D.4.c. Late Applications*. ServeOhio reserves the right to extend the submission deadline. ServeOhio will post a notification in the event of an extended deadline on the <u>ServeOhio</u> website.

D.4.b. Additional Documents Deadline

Additional documents are due by the application submission deadline. See *Sections D.4.a. Application Submission Deadline* and *D.7.b. Submission of Additional Documents* for more information.

D.4.c. Late Applications

All applications received after the submission deadline published in this Notice are presumed to be non-compliant. To overcome this presumption, the applicant must:

- submit a written explanation or justification of the extenuating circumstance(s) that caused the delay, including:
 - the timing and specific cause(s) of the delay
 - the ticket number if a request for assistance was submitted to the National Service Hotline
 - any information provided to the applicant by the National Service Hotline
 - any other documentation or evidence that supports the justification
- ensure that ServeOhio receives the written explanation or justification and any other evidence that substantiates the claimed extenuating circumstance(s), via email to

<u>mary.cannon@serveohio.gov</u> no later than 24 hours after the application deadline stated in the Notice.

Communication with ServeOhio staff, including an applicant's program officer, is not a substitute for a written explanation or justification of the extenuating circumstance that caused the delay as outlined above. Applicants are required to continue working in eGrants, CNCS's web-based application system and with the National Service Hotline to submit the application. ServeOhio will determine whether or not to accept a late application on a case-by-case basis.

Applicants that do not meet the application submission deadline, and do not submit a written explanation or justification or any other evidence to overcome the presumption of non-compliance within the published timeframe, will be deemed noncompliant and the application will not be reviewed or selected for award.

Please note: ServeOhio will *not* consider an advance request to submit a late application. Please carefully review and follow the guidance in this section and submit your application as soon as possible.

D.5. Intergovernmental Review

This Notice is not subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

D.6. Funding Restrictions

D.6.a. Award Funding Requirements

1. Member Living Allowance

A living allowance is not considered a salary or a wage. Programs are not required to provide a living allowance for members serving in less than full-time terms of service. If a program chooses to provide a living allowance to a less than full-time member, it must comply with the maximum limits in the table below. For Cost Reimbursement grants, the amount must be included in the proposed budget as either CNCS or grantee share. Exceptions are noted below.

While Fixed Amount grant applicants are not required to submit detailed budgets, they are still required to provide a living allowance to members that complies with the minimum and maximum requirements. Exceptions are noted below. Fixed Amount grant applicants are not required to indicate that amount in the application and should request those positions as "without living allowance" in the budget.

Table: Minimum and Maximum Living Allowance

Service Term	Minimum # of	Minimum Living	Maximum Total Living Allowance
	Hours	Allowance*	
Full-time (1.0 MSY)	1,700	\$15,100	\$30,200
Three Quarter-time (.7 MSY)	1,200	\$10,721	\$21,318
Half-time (.5 MSY)	900	\$8,003	\$15,988
Reduced Half-time (.381 MSY)	675	\$6,040	\$11,991
Quarter-time (.265 MSY)	450	\$4,001	\$7,994
Minimum-time (.212 MSY)	300	\$3,201	\$5,329
Abbreviated-time (.056)	100	\$890	\$1,776

^{*}AmeriCorps programs are not required to provide a living allowance to members serving in less than full-time capacity. However, ServeOhio recommends programs provide at least the minimum living allowance listed in the table above.

Exceptions to the Living Allowance Requirements

- a. Programs existing prior to September 21, 1993 are not required to offer a living allowance. If an applicant chooses to offer a living allowance, it is exempt from the minimum requirement, but not the maximum requirement.
- **b.** *EAP Grantees* are not required to provide a living allowance, but if a living allowance is provided, it must comply with the maximum requirements set forth in the Living Allowance Table above.
- c. Professional Corps Grantees must provide members a living allowance or salary, which must meet the minimum, but may exceed the maximum living allowance set in the Living Allowance Table above. Professional Corps member salaries and benefits including childcare are paid entirely by the organizations with which the members serve; and are not included in the budget request to CNCS (federal or matching share).

2. Maximum Cost per Member Service Year (MSY)

The CNCS cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested. It does not include childcare or the value of the education award a member may earn. The maximum amount an applicant may request from CNCS per MSY is determined on an annual basis.

New and recompeting Commission subgrantees/applicants will be held to the maximum cost per MSY for their grant type.

Table: Maximum Cost per MSY

Grant Program	Maximum
Individual Competitive State/Territory Program (cost	\$16,300*
reimbursement)	
Multi-state (cost reimbursement)	\$16,300*
Professional Corps Fixed Amount Applicants/Grantees	\$1,000**
Education Award Program Fixed Amount Grant	\$800 or
	\$1,000***
Full-cost Fixed Amount Grant	\$16,300
State/Territory Commission Formula Prime	\$18,000
Individual State/Territory Formula Program	\$20,000
State/Territory Commission Average (of all its subgrants)	\$16,300

*Cost reimbursement programs operating in rural communities (as defined in the Mandatory Supplemental Information) and cost reimbursement <u>programs that recruit opportunity youth as AmeriCorps members may request up to \$16,800 cost per MSY</u> for the AmeriCorps members who will be serving in rural areas or who are opportunity youth.

CNCS requires **Professional Corps programs to cover the operating expenses associated with the AmeriCorps program through non CNCS resources. ServeOhio and CNCS will only consider operating funds of up to \$1,000 per MSY if an applicant is able to demonstrate significant organizational financial need.

*** Per 42 U.S.C. 12581a, the Corporation may provide the operational support under this section for a program in an amount that is not more than \$800 per individual enrolled in an approved national service position, or not more than \$1,000 per such individual if at least 50 percent of the persons enrolled in the program are disadvantaged youth.

For purposes of fundamental fairness, ServeOhio may review an application which meets the statutory characteristics of a professional corps as a professional corps, regardless of whether the organization applied as a professional corps program. ServeOhio reserves the right to determine whether an applicant (whether or not the applicant has applied as a **Professional Corps**) has sufficiently demonstrated that they cannot effectively operate an AmeriCorps program without receiving CNCS operating funds. There may be specific circumstances where ServeOhio determines that a legal applicant has not demonstrated the need for operational grant funds due to other funding resources. Where ServeOhio's due diligence review of an application shows that the applicant has a historically high level of support from non-CNCS sources, and as a result has levels of unrestricted funding that is in excess of the requested level of CNCS funding, ServeOhio may choose to not provide operational grant funding.

3. Segal AmeriCorps Education Award

AmeriCorps members who successfully complete a term of service will be eligible for an Education Award from the National Service Trust. The amount of the Education Award is linked to the value of the Pell Grant. A member has up to seven years after his or her term of service to

use the Education Award. CNCS will provide the updated Education Award amounts at the time of grant award.

4. Cost Sharing or Matching

a. Please see Section C. Eligibility Information 3. Cost Sharing or Matching earlier in the NOFO.

D.6.b. Indirect Costs

Application budgets may include indirect costs. Based on qualifying factors, applicants may either use a federally-approved indirect cost rate, a 10 percent *de minimis* rate of modified total direct costs, or may claim certain costs directly, as outlined in <u>2 CFR 200.413</u>. States, local governments, and Indian Tribes may use previously-approved indirect cost allocation plans. All methods must be applied consistently across all federal awards. Applicants that have a federal negotiated indirect cost rate or that will be using the 10 percent *de minimis* rate must enter that information in the Organization section in eGrants. However, under section 121(d) of the NCSA and CNCS's regulations at 45 CFR 2521.95 and 2540.110, no more than five percent of award funds may be used to recover indirect costs on AmeriCorps grants.

See the Ohio AmeriCorps Application Instructions for detailed guidance on budget and administrative costs.

The instructions for how to enter the organization's indirect cost rate are located here: <u>eGrants Indirect Cost Rate User Instructions.</u> Applicants should not submit documentation addressing the indirect cost rate agreement via email.

Please note: To request a Federally negotiated indirect cost rate agreement, when CNCS is the applicable cognizant agency for an organization's indirect costs, the applicant must submit a request to IndirectCostRate@cns.gov. The applicant may also obtain instructions and additional information by contacting the email address above.

D.6.c. Pre-Award Costs

Pre-award costs, where authorized, are allowed after receiving written approval from the Office of Grants Administration and ServeOhio.

D.7. Other Submission Requirements

D.7.a. Electronic Application Submission in eGrants

Applicants must submit applications electronically via <u>eGrants</u>, <u>CNCS</u>'s <u>web-based application system</u>. ServeOhio recommends that applicants create an eGrants account and begin the application at least three weeks before the deadline. Applicants should draft the application as a Word document, then copy and paste the text into the appropriate eGrants field no later than 10 days before the deadline. These are recommendations and the process may take longer than the recommended timelines.

The applicant's authorized representative must be the person who submits the application. The authorized representative must use his or her own eGrants account to sign and submit the application. A copy of the governing body's authorization for this official representative to sign must be on file in the applicant's office.

Applicants should contact the National Service Hotline at (800) 942-2677 or via <u>eGrants</u> <u>Questions</u> if they have a problem when they create an account, prepare, or submit the application. National Service Hotline hours are posted at https://questions.nationalservice.gov/

Be prepared to provide the application ID, organization's name, and the competition to which the organization is applying. If the issue cannot be resolved by the deadline, applicants must continue working with the National Service Hotline to submit via eGrants.

ServeOhio does not accept applications submitted via fax or mail.

D.7.b. Submission of Additional Documents

Applicants are required to submit the following additional documents by the application submission deadline:

All applicants

- Evaluation briefs, reports, studies. Please refer to the *Evidence Base* section and Mandatory Supplemental Information for detailed instructions by evidence tier. If multiple evaluation briefs/reports/studies are submitted by the applicant, the most recent (as measured by the date of completion or publication) will be reviewed first, and any documents submitted beyond the allowable number will not be reviewed.
- Labor union concurrence (if applicable)

Renewal applications

 Evaluation report, if required. The evaluation report should include a title page with the CNCS grant ID for the project that was evaluated, the name of the project, and the date of completion of the report. If any of this required information is missing, the applicant may not receive credit for meeting their evaluation requirements. Please see the *Evidence Tiers* definitions in the Mandatory Supplemental Information and Section E. Evaluation Plan for further information.

Rural Intermediaries (New and Renewal)

• Letters of support from the consortium members

New and Renewal applications

- All new and renewal applicants regardless of funding level are required to submit an
 Operational and Financial Management Survey (OFMS) located on the <u>ServeOhio website</u>.
 Please submit as a PDF.
- New applicants only: Organizational chart that includes the AmeriCorps program.

Failure to submit the required additional documents by the deadline will have a negative effect on the assessment of your application and/or on the determination of the application's eligibility to advance for review.

Additional documents must be emailed to mary.cannon@serveohio.gov with the following subject line: "Legal Applicant Name" – "Application ID Number." Emails should include:

• the legal applicant name and its point of contact information

- the application ID number
- a list of documents that are attached to the email
- individually attached files that are clearly labeled, and that include the legal applicant name and application ID number within the heading of each document. To ensure that all required additional documents are received, please provide each document as an individual file. Please do not send all documents as one scanned file.
- If the size of an applicant's files requires multiple emails, please also include an ordering system in the subject line, such as "(1 of 3)."

Do not submit any items that are not requested in this Notice and Guidance. ServeOhio will not review or return them.

E. APPLICATION REVIEW INFORMATION

E.1. Review Criteria

Each applicant must describe a project that will deploy AmeriCorps members effectively to solve a significant community problem. ServeOhio urges applicants to submit high quality applications that carefully follow the guidance in this *Notice* and in the Application Instructions. The quality of an application will be an important factor in determining whether an organization will receive funding.

E.1.A. Executive Summary

Please fill in the blanks of these sentences to complete the Executive Summary. Do not deviate from the template below.

The [Name of the organization] proposes to have [Number of] AmeriCorps members who will [service activities the members will be doing] in [the locations the AmeriCorps members will serve]. At the end of the first program year, the AmeriCorps members will be responsible for [anticipated outcome of project]. In addition, the AmeriCorps members will leverage [number of leveraged volunteers, if applicable] who will be engaged in [what the leveraged volunteers will be doing.]

This program will focus on the CNCS focus area(s) of [Focus Area(s)].* The CNCS investment of \$[amount of request] will be matched with \$[amount of projected match], \$[amount of local, state, and federal funds] in public funding and \$[amount of non-governmental funds] in private funding.

*If the program is not operating in a CNCS focus area, omit this sentence.

Fixed-Amount grant applicants (EAP, Full-Cost Fixed, No Cost Slots) should list their Other Revenue (see Mandatory Supplemental Information) because they are not required to provide a specific amount of match, but still raise significant additional resources to operate the program.

E.1.B. Program Design

Reviewers will consider the quality of the application's response to the criteria below. Do not assume all sub-criteria are of equal value.

1. Theory of Change and Logic Model

The Theory of Change shall address:

- The proposed intervention is responsive to the identified community problem.
- The applicant's proposed intervention is clearly articulated including the design, dosage, target population, and roles of AmeriCorps members and (if applicable) leveraged volunteers.
- The applicant's intervention is likely to lead to the outcomes identified in the applicant's Theory of Change.
- The expected outcomes articulated in the application narrative and logic model represent meaningful progress in addressing the community problem identified by the applicant.
- The rationale for utilizing AmeriCorps members to deliver the intervention(s) is reasonable.
- The service role of AmeriCorps members will produce significant contributions to existing efforts to address the stated problem.

The Logic Model shall depict:

- A summary of the community problem.
- The inputs or resources that are necessary to deliver the intervention, including but not limited to:
 - Locations or sites in which members will provide services
 - Number of AmeriCorps members who will deliver the intervention
 - Member Service Term(s) start and end dates
- The core activities that define the intervention or program model that members will implement or deliver, including:
 - The duration of the intervention (e.g., the total number of weeks, sessions or months of the intervention)
 - The dosage of the intervention (e.g., the number of hours per session or sessions per week)
 - The target population for the intervention (e.g., disconnected youth, third graders at a certain reading proficiency level)
- The measurable outputs that result from delivering the intervention (i.e. number of beneficiaries served, types and number of activities conducted). If applicable, identify which National Performance Measures will be used as output indicators
- Outcomes that demonstrate changes in knowledge/skill, attitude, behavior, or condition that
 occur as a result of the intervention. If applicable, identify which National Performance
 Measures will be used as outcome indicators.

Note: The logic model is a visual representation of the applicant's Theory of Change. Programs should include short, medium or long-term outcomes in the logic model. Applicants are not required to measure all components of their Theory of Change. The applicant's performance measures should be consistent with the program's Theory of Change and should represent significant program activities.

In the application narrative, applicants should discuss their rationale for setting output and outcome targets for their performance measures.

Rationales and justifications should be informed by the organization's performance data (e.g., program data observed over time that suggests targets are reasonable), relevant research (e.g. targets documented by organizations running similar programs with similar populations), or prior program evaluation findings.

Applicants with multiple interventions should complete one Logic Model chart which incorporates each intervention. Logic model content that exceeds three pages will not be reviewed.

2. Evidence Base

The assessment of an applicant's evidence base has two parts. First, the applicant will be assigned to an evidence tier (see the Mandatory Supplemental Information.) Second, the quality of the applicant's evidence and the degree to which it supports the proposed program design will be assessed and scored.

Evidence Tier:

An evidence tier will be assessed for each applicant for the purpose of understanding the relative strength of each applicant's evidence base and the likelihood that the proposed intervention will lead to outcomes identified in the logic model.

In 2020, the evidence tiers of successful AmeriCorps State and National applicants that were competing were as follows: Strong 26%, Moderate 18%, Preliminary 24%, and Pre-Preliminary 32%. As these figures indicate, CNCS and ServeOhio value and funds programs at all points along the evidence continuum and expects programs to progress along the evidence continuum over time. Thus, do not be deterred from applying for funding due to your current evidence level.

Applicants who have outcome or impact evaluation reports of *the same intervention described in the application* (see Mandatory Supplemental Intervention for a definition of "same intervention") may submit up to 2 of those reports, plus (if applicable) the evaluation report from their last three-year grant cycle, to qualify for the Preliminary, Moderate, or Strong evidence tier. In order to qualify for consideration, the intervention evaluated in the submitted report(s) must match the intervention proposed by the applicant in the following areas, all of which must be clearly described in the Program Design and Logic Model sections of the application:

- Characteristics of the beneficiary population
- Characteristics of the population delivering the intervention
- Dosage (frequency, duration) and design of the intervention, including all key components and activities
- The context in which the intervention is delivered

Outcomes of the intervention

Submitted reports that do not sufficiently match the intervention proposed by the applicant in all of these areas will not be considered applicable and will not be reviewed or receive any points. Submission of additional documents that are not consistent with the guidance and requirements described in the *Notice* (e.g., advocacy pieces, policy briefs, other narratives that are not research studies or program evaluations) will not be reviewed.

In the Evidence Tier section of the application narrative, applicants must (1) summarize the study design and key findings of any outcome or impact evaluation report(s) submitted and (2) describe any other evidence that supports their program, including past performance measure data and/or other research studies that inform their program design. Applicants who submit evaluation reports for consideration must also describe in the Evidence Base section of the application narrative how the intervention described in the submitted reports is the same as the intervention described in the application (see Mandatory Supplemental Information).

Applicants should provide citations for the studies they describe, if applicable; however, reviewers will not review any documents external to the application other than evaluation report(s) submitted in accordance with the *Notice* instructions.

Applicants must meet all requirements of an evidence tier in order to be considered for that tier.

If the evaluation reports submitted by the applicant do not meet the definitions in the Mandatory Supplemental Information, the applicant may be considered for a lower evidence tier.

Evidence Quality

After the applicant's evidence tier has been assessed, the quality of the applicant's evidence and the extent to which it supports the proposed program design will be assessed and scored.

For applicants who are assessed as being in the Preliminary, Moderate, or Strong evidence tiers, reviewers will score the submitted evaluation reports using the following standards:

- The submitted reports are of satisfactory methodological quality and rigor for the type of evaluation conducted (e.g., adequate sample size and statistical power, internal and/or external validity, appropriate use of control or comparison groups, etc.);
- The submitted reports describe evaluations that were conducted relatively recently, preferably within the last six years;
- The submitted reports show a meaningful and significant positive effect on program beneficiaries in at least one key outcome of interest.

For applicants who are assessed as being in the Pre-Preliminary evidence tier, reviewers will score the narrative provided in the Evidence Base section of the application using the following standards:

- The applicant uses relevant evidence, including past performance measure data and/or cited research studies, to inform their proposed program design;
- The described evidence is relatively recent, preferably from the last six years;
- The evidence described by the applicant indicates a meaningful positive effect on program beneficiaries in at least one key outcome of interest.

Applicants assessed in the Pre-Preliminary evidence tier who do not provide adequate responses to the Evidence Quality standards will not meet the threshold requirements for this *Notice* and will not be considered for funding.

All applicants, including new grantees, are required to provide additional information in the Evaluation Summary or Plan field of the application (See Section E. Evaluation Plan); however,

information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

3. Notice Priority

• The applicant proposed program fits within one or more of the AmeriCorps funding priorities as outlined in the *Funding Priorities* section and more fully described in the Mandatory Supplemental Information and the proposed program meets all of the requirements detailed in the *Funding Priorities* section and in the Mandatory Supplemental Information.

4. Member Experience

- AmeriCorps members will gain skills as a result of their training and service that can be utilized and will be valued by future employers after their service term is completed.
- The program will recruit AmeriCorps members from the geographic or demographic communities in which the programs operate.
- The applicant will foster an inclusive service culture where different backgrounds, talents, and capabilities are welcomed and leveraged for learning and effective service delivery.

E.1.C. Organizational Capability

Reviewers will consider the quality of the application's response to the following criteria below. Do not assume all sub-criteria are of equal value.

1. Organizational Background and Staffing

• The organization details the roles, responsibilities, and structure of the staff that will be implementing the AmeriCorps program as well as providing oversight and monitoring for the program.

2. Compliance and Accountability

- The organization has a monitoring and oversight plan to prevent and detect non-compliance and enforce compliance with AmeriCorps rules and regulations including those related to prohibited and unallowable activities and criminal history checks at the grantee, subgrantee (if applicable), and service site locations.
- The evaluation report meets requirements (if applicable).
- The evaluation report is of satisfactory quality (if applicable).

3. Culture that Values Learning

• The applicant's board, management, and staff collect and use information, including performance data, for learning and decision making.

4. Member Supervision

- AmeriCorps members will receive sufficient guidance and support from their supervisor to provide effective service.
- AmeriCorps supervisors will be adequately trained/prepared to follow AmeriCorps and program regulations, priorities, and expectations.

E.1.D. Cost Effectiveness and Budget Adequacy

Reviewers will assess the quality of the application's budget to the following criteria below. Do not assume all sub-criteria are of equal value.

These criteria will be assessed based on the budget submitted.

No narrative should be entered in the narrative box except "See budget."

1. Cost Effectiveness and Budget Adequacy

- Budget is submitted without mathematical errors and proposed costs are allowable, reasonable, and allocable to the award.
- Budget is submitted with adequate information to assess how each line item is calculated.
- Budget is in compliance with the budget instructions.
- Match is submitted with adequate information to support the amount written in the budget.
- The budgeted match is equal to or more than the required match for the given program year.
- The cost per MSY is equal to or less than the maximum cost per MSY.

Proposed budgets that contain MSY costs that exceed the maximum cost per MSY and/or less than required match will be considered unresponsive to the application criteria.

Applicants must complete the budget and ensure the following information is in the budget screens:

- Current indirect rate cost rate information if used to claim indirect/administrative costs.
- Identify the non-CNCS funding and resources necessary to support the project, including Fixed Amount applicants.
- Indicate the amount of non-CNCS resource commitments, type of commitments (in-kind and/or cash), the sources of these commitments, and if the commitments are proposed or secured.

E.1.E. Evaluation Plan

NEW Applicants: If the applicant is New and submitting a grant application for the first time, please provide a <u>data collection plan</u> in the "Evaluation Summary or Plan" field that includes the following:

- A description of the applicant's data collection system and how it is sufficient to collect high
 quality performance measurement data during the first three years of the grant. If the
 applicant does not yet have a data collection system, describe the plan and timeline for
 developing a high-quality system.
- A description of how the applicant will use performance data (including CNCS performance measures and other process and outcome measures if applicable) to improve its program in the first three years of funding.

First-time applicants should be aware that ServeOhio may require submission of data collection instruments if a grant is approved for funding. For more information about how to develop a high-quality data collection plan, visit the CNCS Knowledge Network:

https://www.nationalservice.gov/resources/americorps/building-effective-data-collection-plans-presented-october-12-2017.

RENEWAL Applicants: If the applicant is submitting its first <u>renewal request</u> for AmeriCorps funds (see definition of "recompeting" below) the program must submit its <u>evaluation plan</u> in the "Evaluation Summary or Plan" section of the Narratives field in CNCS's web-based management system.

If the applicant is recompeting for a subsequent time, the program must submit its evaluation report as an attachment (see the *Submission of Additional Documents* section for more information), and must also submit an evaluation plan for the next three-year period in the "Evaluation Summary or Plan" field in the system. Evaluations plans submitted outside of the system will not be reviewed.

Evaluation plans must include as much information as possible for each of the following (see Frequently Asked Questions: Evaluation, available at

http://www.nationalservice.gov/resources/evaluation/cncs-evaluation-policies):

- A short description of the Theory of Change why the proposed intervention is expected to produce the proposed results
- Outcome(s) of interest clear and measurable outcomes that are aligned with the Theory of Change and will be assessed during the evaluation
- Research questions to be addressed by the study concrete research questions (or hypotheses) that are clearly connected to the outcomes
- Proposed research design for the evaluation including a rationale for the design selected, an assessment of its strengths and limitations, and a description of the main components;
- Description of the data sources, sampling methods, measurement tools, and data collection procedures that will be used in the evaluation
- Analysis plan that clearly describes the methodology/ies that will be used to analyze the collected data
- A timeline for the evaluation that describes how the evaluation will cover at least one year of CNCS-funded activity and will be completed within the three-year timeframe of the grant
- Oualifications needed for the evaluator
- The proposed budget

Information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

For more information about how to write a high-quality evaluation plan, visit the CNCS Knowledge Network's Evaluation Resources:

http://www.nationalservice.gov/resources/evaluation/planning-evaluation.

The evaluation requirements differ depending on the amount of the grant, as described in 45 CFR 2522.700-710:

• If the applicant is a State/Territory subgrantee and/or National Direct Grantee (other than an Education Award Program grantee), and its average annual CNCS program grant is \$500,000 or more, it must arrange for an external impact evaluation of the program (see Mandatory Supplemental Information), and it must submit the evaluation with any subsequent

- application to CNCS for competitive funds, or ServeOhio for formula funds, as required in 45 CFR 2522.730.
- If the applicant is a State/Territory subgrantee and/or National Direct Grantee whose average annual CNCS program grant is less than \$500,000, or an Education Award Program Grantee, it must conduct an internal or an external evaluation of the program, and it must submit the evaluation with any subsequent application to CNCS for competitive funds, or ServeOhio for formula funds, as required in 45 CFR 2522.730.

For purposes of compliance with evaluation plan and report requirements, an application will be considered a recompeting application if it has previously been funded competitively for at least three years for the same project (see Mandatory Supplemental Information for the CNCS definition of "same project").

- If the applicant has received competitive funding for the same project for at least three years, it will be required to submit an evaluation plan.
- If the applicant has received at least six years of competitive funding for the same project, the applicant will be required to submit an evaluation report as well as an evaluation plan for the upcoming 3-year grant. If the applicant does not satisfy the definition of recompeting, it will not be required to submit an evaluation plan or completed evaluation report.

The "Evaluation Summary or Plan" field of the Narrative does not count toward the page limit of the application; however, it does have a set character limit of 20,000 characters.

State/Territory subgrantees and/or National Direct Grantees that are recompeting for funds may be eligible to apply for approval of an alternative evaluation approach (AEA). Grantees requesting approval of an alternative evaluation approach should submit this request along with their evaluation plan in the Evaluation Summary or Plan field in the system. CNCS guidance on alternative evaluation approaches can be found on the CNCS website: https://www.nationalservice.gov/documents/2019/ASN-alternative-evaluation-approachguidance.

If the request for the alternative evaluation approach plus the Evaluation Plan itself will exceed the character limit of the Evaluation Summary or Plan field in the system, the applicant should do the following:

- Enter the Evaluation Plan in the Evaluation Summary or Plan field in the system.
- Include a note in the Evaluation Summary or Plan field stating that the applicant is requesting an alternative evaluation approach.
- Include the alternative evaluation approach at the END of the application narrative with the heading "REQUEST FOR ALTERNATIVE EVALUATION APPROACH." This section of the application narrative will not count against the page limit.

E.1.F. Amendment Justification

Enter N/A. This field will be used if the applicant is awarded a grant and needs to amend it.

E.1.G. Clarification Information

Enter N/A. This field will be used to enter information that requires clarification in the post-review period.

E.1.H. Continuation Changes

Enter N/A. This field will be used to enter changes in the application narratives in continuation requests.

E.2. Review and Selection Process

ServeOhio will engage Peer and Staff Reviewers with relevant knowledge and expertise to assess and provide input on the eligible applications. The review and selection process is intended to produce a diversified set of high-quality programs that represent the priorities and strategic considerations described in this Notice.

The stages of the review and selection process follow:

E.2.a. Initial Application Compliance and Eligibility Review

ServeOhio will conduct an initial Eligibility Review to determine if an application meets the eligibility requirements published in this Notice and advances to the next stage of the review process.

An application is compliant if the applicant:

- is an eligible organization
- is eligible to submit directly to ServeOhio
- submitted an application by the submission deadline

Reviewing for eligibility is intended to ensure that only those applications that are eligible for award are further reviewed. However, determinations of eligibility can take place at any point during the application review and selection process. Applicants that are determined to be ineligible will not receive an award.

E.2.b. Application Review

External Review

ServeOhio Peer Reviewers will review and assess the program design and organizational capability sections detailed in the Notice. All reviewers will be screened for conflicts of interest.

Internal Review

Each application will be assessed by several ServeOhio staff. Staff reviewers will evaluate the application using the application review criteria, consider the comprehensiveness and feasibility of the application, and assess the priorities and strategic considerations detailed in the Notice. Reviewers will be screened for conflicts of interest.

E.2.c. Applicant Clarification

ServeOhio may ask an applicant for clarifying information. ServeOhio staff will use this information to make funding recommendations. A request for clarification does not guarantee an award. Applicants may be recommended for funding even if they are not asked for clarifying

information. An applicant's failure to respond to a request for clarification adequately and in a timely manner may result in the removal of its application from consideration.

E.2.d. Pre-Award Risk Assessment

ServeOhio staff will evaluate the risks to the program posed by each applicant to assess an applicant's ability to manage federal funds. This evaluation is in addition to assessment of the applicant's eligibility and the quality of its application on the basis of the Selection Criteria. Results from this evaluation will inform funding decisions. If ServeOhio determines that an award will be made to an applicant with assessed risks, special conditions that correspond to the degree of assessed risk may be applied to the award. Additionally, if ServeOhio concludes that the reasons for applicants having a poor risk assessment are not likely to be mitigated, those applications may not be selected for funding.

In evaluating risks, ServeOhio may consider some of the following criteria: Due Diligence:

- federal debt delinquency
- suspension and debarment
- information available through OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as:
 - o Federal Awardee Performance and Integrity Information System (FAPIIS)
 - o U.S. Treasury Bureau of Fiscal Services
 - System for Award Management (SAM)
 - o "Do Not Pay"
- reports and findings from single audits performed under Uniform Administrative Guidance and findings of any other available audits or investigations
- IRS Tax Form 990

Operational and Financial Management:

- financial stability
- Operational and Financial Management Survey

Past Performance:

- applicant's record in managing previous CNCS awards, cooperative agreements, or procurement awards, including:
- timeliness of compliance with applicable reporting requirements
- accuracy of data reported
- conformance to the terms and conditions of previous federal awards
- applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on award recipients
- meeting matching requirements
- the extent to which any previously awarded amounts will be expended prior to future awards
- Grant progress reports attainment of Performance Measures
- Enrollment and retention
- Monitoring findings CNCS and/or OIG (if applicable), ServeOhio findings

Other Programmatic Risks:

• publicly available information, including information from the applicant organization's website

ServeOhio may use the results of the review of the risk assessment evaluation in determining which applications to fund.

E.2.e. Consideration of Integrity and Performance System Information

Prior to making any award that exceeds \$250,000, ServeOhio are required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM and FAPIIS (see 41 U.S.C. §2313). Additionally, ServeOhio may expand upon these requirements and use its discretion to review and consider information about any applicant receiving an award, including those under the simplified acquisition threshold.

Any applicant, at its option, may review information in the designated integrity and performance systems accessible through FAPIIS and comment on any information about itself that a federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through FAPIIS.

ServeOhio may consider comments by any applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when completing its review of risk posed by the applicant under the Risk Assessment Evaluation section of this Notice.

E.2.f. Selection for Funding

The review and selection process is designed to:

- identify how well eligible applications are aligned with the application review criteria
- build a diversified portfolio based on the following strategic considerations:
 - o ServeOhio Funding Priorities (See Section A.2. Funding Priorities)
 - o meaningful representation of
 - geographic diversity
 - rural communities
 - single and multi-state programs
 - faith-based organizations
 - focus area representation

Based on the evaluation of these strategic considerations, applications may be selected for funding over applications with a greater degree of alignment with the review criteria. In selecting applicants to receive awards under this Notice, ServeOhio will endeavor to include a diverse portfolio of applications based on staff recommendations and strategic considerations.

ServeOhio reserves the right to prioritize funding existing awards over making new awards.

ServeOhio reserves the right to award applications in an amount other than at the requested level of funding and will document the rationale for doing so.

ServeOhio reserves the right to adjust or make changes to the review process, if unforeseen challenges or urgent circumstances make it impossible, impracticable, or inefficient to conduct the review process as planned. Any such adjustments or changes will not affect the selection criteria that will be used to assess applications.

E.3. Feedback to Applicants

Following awards, non-successful applicants will receive feedback from Peer and Staff Review of their compliant applications. This feedback will be based on the review of the original application and will not reflect information provided during clarification.

E.4. Transparency in Grant-making

ServeOhio is committed to transparency in grant-making. The following information for successful applicants will be made available on the ServeOhio website:

- number of compliant applications received.
- executive summaries of funded applicants

Submitted program narratives for successful applications will be available upon request.

F. FEDERAL AWARD ADMINISTRATION INFORMATION

F.1. Federal Award Notices

ServeOhio will make awards following the grant selection announcement. ServeOhio anticipates announcing the results of this competition by **mid-May of 2021** contingent on the availability of congressional appropriations. All applicants, successful or not, will be notified of funding decisions via email.

Notification of an award is not an authorization to begin grant activities. The Notice of Grant Award is the authorizing document for grant activities. An awardee may not expend federal funds until the start of the Project Period identified on the Notice of Grant Award unless it has received a written pre-award cost approval from ServeOhio.

F.2. Administrative and National Policy Requirements

F.2.a. Uniform Guidance

All awards made under this Notice will be subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) in <u>2 CFR Parts</u> 200 and 2205.

F.2.b. Requests for Improper Payment Information

CNCS may request documentation from recipients in order to monitor the award or to comply with other legal requirements, such as the Improper Payments Information Act of 2002, as amended. Failure to make timely responses to these requests may result in award funds being placed on manual hold, reimbursement only status, or other remedies may be applied as appropriate.

F.2.c. CNCS General, AmeriCorps and ServeOhio Terms and Conditions

All awards made under this Notice will be subject to the FY 2021 CNCS General Terms and Conditions, the FY 2021 AmeriCorps Program Specific Terms and Conditions, and the FY 2021 Ohio AmeirCorps Supplementary Terms and Conditions. These Terms and Conditions contain detailed, mandatory compliance and reporting requirements. Current versions of the Terms and Conditions are available at www.nationalservice.gov/resources/terms-and-conditions-cncs-grants.

F.2.d. National Service Criminal History Check Requirements

The National Service Criminal History Check (NSCHC) is a specific screening procedure established by law to protect the beneficiaries of national service. The law requires grantees to conduct and document NSCHCs on persons (including award-funded staff, national service participant, or volunteer) receiving a salary, living allowance, stipend, or education award through a program receiving CNCS funds. This includes staff that receive part of their salary through a subgrant.

An individual is <u>ineligible</u> to serve in a position that receives such CNCS funding if the individual is registered, or required to be registered, as a sex offender or has been convicted of murder.

The cost of conducting NSCHCs is an allowable expense under the award.

Grantees can utilize the two vendors CNCS has engaged to conduct the required NSCHCs. Information regarding use of these two vendors can be found at https://www.nationalservice.gov/documents/2018/nschc-using-fieldprint-and-truescreen-manual.

Failure to conduct compliant NSCHC may result in significant disallowed costs.

Unless CNCS has provided a grant recipient with a written exemption or written approval of an alternative search procedure, recipients <u>must</u> perform the following checks.

Ohio AmeriCorps Programs MUST ABIDE by the Special Rule for Persons Serving Vulnerable Populations. Award-funded staff, national service participants, and volunteers with recurring access to vulnerable populations (i.e., children age 17 or younger, individuals age 60 or older, or individuals with disabilities) must undergo NSCHCs that include:

- A nationwide name-based check of the NSOPW; and
- Both
 - o A fingerprint-based FBI criminal history check; and
 - A name- or fingerprint-based search of the statewide criminal history registry in the person's state of residence at time of application if the residency state is not a National Fingerprint File (NFF) state.

Contact <u>ServeOhio</u> with questions about the NSCHC requirements for Ohio AmeriCorps programs.

See <u>45 CFR 2540.200–2540.207</u> and <u>CNCS Criminal History Check Resources</u> for complete information and FAQs.

F.2.e. Official Guidance

All CNCS active Guidance is available on the agency's Guidance webpage:

https://www.nationalservice.gov/resources/official-guidance. The contents of these documents do not have the force and effect of law and are not meant to bind the public in any way unless incorporated into a contract or grant agreement. These documents are intended only to provide clarity to the public regarding existing requirements under the law or agency policies.

F.3. Use of Material

To ensure that materials generated with CNCS funding are available to the public and readily accessible to recipients and non-recipients, CNCS reserves a royalty-free, nonexclusive, and irrevocable right to obtain, use, modify, reproduce, publish, or disseminate publications and materials produced under the award, including data, and to authorize others to do so (2 CFR §200.315).

F.4. Reporting

Recipients are required to submit a variety of reports which are due at specific times during the life cycle of an award. All reports must be accurate, complete, and submitted on time.

Cost reimbursement grantees are required to provide progress reports, financial reports, and an internal or external evaluation report as required by the AmeriCorps regulations 45 CFR 2522.500-2522.540 and 2522.700-2522.740. Final financial and progress reports are due 90 days after the end of the agreement.

Fixed Amount grantees are required to provide progress reports and an internal or external evaluation report.

All grantees, including Fixed Amount grantees, must submit financial reports.

Award recipients will be required to report at www.FSRS.gov on all subawards over \$30,000, and may be required to report on executive compensation for the recipient organization and its subrecipients. Recipients must have the necessary systems in place to collect and report this information. See 2 CFR Part 170 for more information and to determine how these requirements apply.

Once the grant is awarded, recipients will be expected to have data collection and data management policies, processes, and practices that provide reasonable assurance that they are reporting high quality performance measure data. At a minimum, recipients should have policies, processes, and practices that address the following five aspects of data quality for themselves and for subrecipients (if applicable):

- the data measures what it intends to measure
- the data reported is complete
- the recipient collects data in a consistent manner
- the recipient takes steps to correct data errors
- the recipient actively reviews data for accuracy prior to submission.

In addition to annual reporting of progress toward the grant's approved performance measure outputs and outcomes, additional performance data and narrative data must be reported annually (as applicable), including the number of applicants for AmeriCorps positions and the number of volunteers recruited and/or supported by AmeriCorps members. The full list of required and optional performance data elements for AmeriCorps programs can be found on the <u>AmeriCorps Grantee Progress Report webpage</u>.

Failure to submit accurate, complete, and timely required reports may affect the recipient's ability to secure future CNCS and ServeOhio funding.

F.5. Continuation Funding Information and Requirements

Organizations that have current awards that continue beyond FY 2021 must submit an application in order to be eligible to receive funding for the following year. Please see the Application Instructions for Continuation Requests, if applicable. Requests by existing continuation applicants for increases in the level of funding or number of positions will be assessed using the review criteria published in this Notice. The review will also be based on progress reports, the federal financial report, evaluation plans, and ServeOhio staff's knowledge of the grant program. To be approved for continuation funding, recipients must demonstrate satisfactory performance with respect to key program goals and requirements, as well as compliance with the terms and conditions of the grant.

ServeOhio reserves the right to award applications in an amount other than at the requested level of funding and will document the rationale for doing so.

G. FEDERAL AWARDING AGENCY CONTACTS

This Notice and application materials are available at www.serveohio.org. ServeOhio can be reached via: Director of AmeriCorps 30 East Broad Street, Suite 2487 Columbus, Ohio 43215

To contact CNCS, call (202) 606-7508 or email <u>americorpsgrants@cns.gov</u>. CNCS offers live text chat at www.NationalService.gov/contact-us.

For technical questions and problems with the eGrants system, call the National Service Hotline at (800) 942-2677. National Service Hotline hours are posted at https://questions.nationalservice.gov/

H. OTHER INFORMATION

H.1. Technical Assistance

ServeOhio will host technical assistance calls to answer questions about the funding opportunity and eGrants. ServeOhio strongly encourages all applicants to participate in these sessions. The schedule and call-in information for the technical assistance calls is on the ServeOhio website

H.2. Re-Focusing of Funding

ServeOhio reserves the right to re-focus program dollars for this competition in the event of disaster or other compelling needs.

I. IMPORTANT NOTICES

Public Burden Statement: Public reporting burden for collection of information under this Notice of Funding is estimated to average six hours per submission, including reviewing instructions, gathering and maintaining the data needed, and completing the application and reporting forms. CNCS informs people who may respond to this Notice of Funding that they are not required to respond unless the OMB control number and expiration date are current valid. (See 5 C.F.R. 1320.5(b)(2)(i).) The OMB Control Number for the information collection is 3045-0187. It expires on 9/30/2020.

Privacy Act Statement: The Privacy Act of 1974 (5 U.S.C § 552a) requires that we notify you that the information requested under this Notice of Funding is collected pursuant to 42 U.S.C. §§12592 and 12615 of the National and Community Service Act of 1990 as amended, and 42 U.S.C. §4953 of the Domestic Volunteer Service Act of 1973 as amended. Purposes and Uses - The information requested is collected for the purposes of reviewing grant applications and granting funding requests. Routine uses may include disclosure of the information to federal, state, or local agencies pursuant to lawfully authorized requests. In some programs, the information may also be provided to federal, state, and local law enforcement agencies to determine the existence of any prior criminal convictions. The information may also be provided to appropriate federal agencies and contractors that have a need to know the information for the purpose of assisting the government to respond to a suspected or confirmed breach of the security or confidentiality or information maintained in this system of records, and the information disclosed is relevant and unnecessary for the assistance. Effects of Nondisclosure - The information requested is voluntary; however, to be a recipient of this grant program, disclosure of personal or sensitive information is required to receive federal benefits.